

FIXED-POINT SUBGROUPS OF $\mathrm{GL}_3(q)$

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ABSTRACT. Let V be a vector space over a field k . We call a subgroup $G \subset \mathrm{GL}(V)$ a *fixed-point subgroup* if $\det(1 - g) = 0$ for all $g \in G$. Let q be a power of a prime. In this paper we classify the fixed-point subgroups of $\mathrm{GL}_3(q)$.

1. INTRODUCTION

1.1. **Motivation.** Let X/\mathbf{Q} be a smooth, projective algebraic variety and ℓ a rational prime. Then there are ℓ -adic representations

$$\rho_\ell : \mathrm{Gal}(\overline{\mathbf{Q}}/\mathbf{Q}) \rightarrow \mathrm{Aut} \left(\mathrm{H}_{\text{ét}}^i \left(X_{\overline{\mathbf{Q}}}, \mathbf{Q}_\ell \right) \right)$$

on the étale cohomology groups of X , and the image of such a representation can have interesting consequences for the arithmetic of the variety X . For example, when X is an elliptic curve and ρ_ℓ is the ℓ -adic representation on the Tate module, then the image of ρ_ℓ gives information on the ℓ -power torsion structure of $X(\mathbf{Q})$. A concrete instance of this stems from a question of Lang, answered by Katz in [10]. Namely, if X is an elliptic curve over \mathbf{Q} such that for all but finitely many primes p the numbers $\#X_p(\mathbf{F}_p)$ are divisible by ℓ^n (where X_p denotes the reduction of X modulo a good prime p), then it is true that at least one of the curves X' in the isogeny class of X has $\#X'(\mathbf{Q})$ divisible by ℓ^n . By translating to Galois representations, this result amounts to a classification of subgroups G of $\mathrm{GL}_2(\mathbf{Z}_\ell)$ such that $\det(1 - g) \equiv 0 \pmod{\ell^n}$ for all $g \in G$. One can ask for a similar classification of subgroups of symplectic similitude groups with a view towards higher-dimensional abelian varieties with divisibilities on their number of points mod p ; we provided such classifications in dimensions 4 and 6 in [3, 4, 5, 6] for the groups $\mathrm{GSp}_4(\mathbf{F}_\ell)$ and $\mathrm{GSp}_6(\mathbf{F}_\ell)$.

This raises a natural question: If k is a finite field, can one classify the *irreducible* subgroups of $\mathrm{GL}_n(k)$ such that every element has a fixed point? (By “irreducible subgroup” we mean a subgroup $G \subset \mathrm{GL}_n(k)$ that acts irreducibly on the underlying vector space k^n .) Let us call a subgroup G of $\mathrm{GL}_n(k)$ a *fixed-point subgroup* if every element fixes a point in its natural representation.

By an exercise of Serre [14, Ex. 1] there are no irreducible fixed-point subgroups of $\mathrm{GL}_2(k)$. One of the main results of [10] is that there are no irreducible fixed-point subgroups of $\mathrm{GSp}_4(\mathbf{F}_\ell)$, where \mathbf{F}_ℓ is the field of ℓ elements. In [3, 4, 5] we classified the fixed-point subgroups of $\mathrm{GSp}_6(\mathbf{F}_\ell)$ and showed that none are irreducible. However, we recall an example of [5], originally communicated to us by Serre in [15]. If $L_3(2)$ is the simple group of order 168, then the Steinberg representation

$$\mathrm{St} : L_3(2) \rightarrow \mathrm{Sp}_8(\mathbf{F}_2)$$

is absolutely irreducible and $\mathrm{St}(L_3(2))$ is a fixed-point subgroup of $\mathrm{Sp}_8(\mathbf{F}_2)$. As an application of this observation, if A is an abelian fourfold defined over a number

field K such that the image of the mod 2 representation

$$\overline{\rho}_2 : \text{Gal}(\overline{K}/K) \rightarrow \text{Aut}(A[2])$$

coincides with $\text{St}(\text{L}_3(2))$, then A has the property that for all but finitely many primes \mathfrak{p} , the number of points $\#\overline{A}_{\mathfrak{p}}(\mathbf{F}_{\mathfrak{p}})$ on the reduction modulo \mathfrak{p} of A is even, while no member of the isogeny class of A has an even number of K -rational torsion points. An interesting related question is whether such a fourfold can be realized over \mathbf{Q} .

Leaving the case of abelian varieties and symplectic groups, we focus on three-dimensional representations, which arise naturally in an arithmetic context as well. Using [7] as motivation, one can consider modular forms for congruence subgroups $\Gamma_0(N)$ of $\text{SL}_3(\mathbf{Z})$. Given a cuspidal eigenform $f \in \text{H}^3(\Gamma_0(N), \mathbf{C})$, let \mathbf{Q}_f denote the number field generated by the Hecke eigenvalues of f . Let $\lambda \in \mathbf{Q}_f$ be a prime dividing ℓ . Then we have attached to f the λ -adic Galois representation

$$\rho_{\lambda} : \text{Gal}(\overline{\mathbf{Q}}/\mathbf{Q}) \rightarrow \text{GL}_3(\mathbf{Q}_{f,\lambda}).$$

(See [7, §3] for an explicit example of how such compatible families of representations arise.) The residual representation $\overline{\rho}_{\lambda}$ then provides a natural setting for studying subgroups of $\text{GL}_3(k)$, where k is a finite field. In the aforementioned example, if $\text{im } \overline{\rho}_{\lambda}$ is a fixed-point subgroup of $\text{GL}_3(k)$ then we get additional information on congruence properties of the number of points on the variety modulo p , for all but finitely many p , by the Chebatorev Density Theorem. For this reason, and the ones mentioned above with respect to abelian varieties, the fixed-point subgroups of linear groups have special arithmetic interest.

In this paper we continue our classification of fixed-point linear groups and determine all fixed-point subgroups of $\text{GL}_3(k)$, where k is a finite field. Unlike the classifications in [3, 4, 5] for the groups $\text{GSp}_4(\mathbf{F}_{\ell})$ and $\text{GSp}_6(\mathbf{F}_{\ell})$, the main theorem of this paper allows for k to be an arbitrary finite field of any characteristic.

1.2. The Main Theorem. We postpone a review of notation until the next section, except to remark that the maximal subgroups of a finite linear group fall into 8 geometric classes $\mathcal{C}_1, \dots, \mathcal{C}_8$, together with a class \mathcal{S} of exceptional subgroups; we refer the reader to [1] for the details of the classification.

There are certain subgroups of $\text{GL}_3(q)$ that are easily identifiable as fixed-point subgroups, for example those conjugate to

$$\begin{pmatrix} 1 & * & * \\ 0 & * & * \\ 0 & * & * \end{pmatrix} \in \text{GL}_3(q),$$

and we do not wish to include them in our classification. We therefore declare a subgroup G of $\text{GL}_3(q)$ to be a *trivial fixed-point group* if the semisimplification of the underlying 3-dimensional representation contains the trivial representation. Henceforth we work exclusively with *semisimple* groups in this paper as these will have the same fixed-point properties as the parabolic groups they lie in. Our main theorem is as follows; see the following sections for all notational definitions.

Theorem 1.2.1. *The maximal, nontrivial, semisimple fixed-point subgroups of $\text{GL}_3(q)$ are as follows:*

<i>Dimensions of Simple Factors</i>	<i>Isomorphism Type</i>	<i>Conditions</i>
(1, 1, 1)	$C_2 \times C_2$	q odd
(2, 1)	D_{q-1} D_{q+1}	q odd q odd
<i>Irreducible</i>	Sym_4 $\mathrm{SO}_3(q)$ Alt_5	q odd q odd $5 \in \mathbf{F}_q^{\times 2}, p \neq 2, 3$

Remark 1.2.2. As a corollary of our theorem we obtain that there are no irreducible fixed-point subgroups of $\mathrm{GL}_3(q)$ in characteristic 2. In particular, the groups $\mathrm{SO}_3(q)$ and Sym_4 , each of which is naturally a subgroup of $\mathrm{GL}_3(q)$, fix a line in characteristic 2 (for the former, see [1, Thm. 1.5.41] while the latter is deduced from the Brauer Table of Sym_4 in characteristic 2).

1.3. Notation and Setup. Let k be a finite field of characteristic p (we choose p instead of ℓ for consistency in the group theory literature) and write $k = \mathbf{F}_q$, where $q = p^n$. We follow the classification and notational scheme of [1] which is based on Aschbacher's original classification of the subgroups of the finite classical groups.

Since $\mathrm{GL}_3(q)$ is not a fixed-point group itself, any fixed-point subgroup must lie in a maximal subgroup of $\mathrm{GL}_3(q)$ and hence in one of the 8 geometric classes $\mathcal{C}_1, \dots, \mathcal{C}_8$, or the exceptional class \mathcal{S} . We use the standard notation from finite group theory, basing much of our notational scheme on that of [1]. In particular, we set

- Alt_n : The alternating group on n letters.
- Sym_n : The symmetric group on n letters.
- C_n : The cyclic group of order n .
- E_q : Elementary abelian group of exponent p and rank n .
- A^{m+n} : If A is elementary abelian, then A^{m+n} has elementary abelian normal subgroup A^m and quotient A^n .
- p_+^{1+2n} : Extra-special p group of order p^{1+2n} and exponent p .
- d : the center of $\mathrm{SL}_3(q)$.
- $Z(q)$: the center (scalar matrices) of $\mathrm{GL}_3(q)$.
- $L_n(q)$: The projective special linear group $\mathrm{PSL}_n(q)$.
- $A \wr B$: The wreath product of A and B , where $B \hookrightarrow \mathrm{Perm}(A \times \dots \times A)$.
- $N \cdot Q$ denotes a non-split extension of Q by N .
- $N : Q$ denotes a split extension of Q by N .
- $N.Q$ denotes an arbitrary extension of Q by N .

Our strategy for proving Theorem 1.2.1 is roughly as follows. Given a subgroup G of $\mathrm{GL}_3(q)$, we intersect with $\mathrm{SL}_3(q)$ and use the classification of maximal subgroups of $\mathrm{SL}_3(q)$ outlined in [1, Chapter 2] to determine the fixed-point subgroups of $\mathrm{SL}_3(q)$. We then lift back to $\mathrm{GL}_3(q)$ to find the maximal fixed-point subgroups. The issue is that we may encounter *novel* subgroups – maximal subgroups M of $\mathrm{GL}_3(q)$ such that $M \cap \mathrm{SL}_3(q)$ is not maximal in $\mathrm{SL}_3(q)$. We will address any novelties as they arise. Toward that end, we record the maximal subgroups of $\mathrm{SL}_3(q)$ in Table 1.3 below; see [1, Table 8.3] for complete details on the subgroup structure of $\mathrm{SL}_3(q)$.

Remark. There is a typographical error in [1, Table 8.3]: in class \mathcal{C}_1 , the group labelled $E_q^3 : \mathrm{GL}_2(q)$ should be $E_q^2 : \mathrm{GL}_2(q)$. We have corrected this in Table 1.3.

We also note that the papers [9, 13] provide a classification of the ternary linear groups over finite fields, from which one could recover [1, Table 8.3]; however, we prefer to begin with the classification scheme of [1] due to the modern notation and language.

Class	Isomorphism Type
\mathcal{C}_1	$E_q^2 : \mathrm{GL}_2(q), \quad E_q^{1+2} : (q-1)^2, \quad \mathrm{GL}_2(q)$
\mathcal{C}_2	$(q-1)^2 : \mathrm{Sym}_3, q \geq 5$
\mathcal{C}_3	$(q^2 + q + 1).3, q \neq 4$
\mathcal{C}_5	$\mathrm{SL}_3(q_0). \left(\frac{q-1}{q_0-1}, 3 \right)$ if $q = q_0^r, r$ prime.
\mathcal{C}_6	$3_+^{1+2}.Q_8. \frac{(q-1,9)}{3}$ when $p = q \equiv 1 \pmod{3}$
\mathcal{C}_8	$d \times \mathrm{SO}_3(q)$ when q is odd $(q_0 - 1, 3) \times \mathrm{SU}_3(q)$ when $q = q_0^2$
\mathcal{S}	$d \times \mathrm{L}_2(7)$ when $q = p \equiv 1, 2, 4 \pmod{7}, q \neq 2.$ $3 \cdot A_6$ when $q = p \equiv 1, 4 \pmod{15}$ or $q = p^2, p = 2, 3 \pmod{5}, p \neq 3$

FIGURE 1. Maximal Subgroups of $\mathrm{SL}_3(q)$

The groups in class \mathcal{C}_1 are the parabolic subgroups of $\mathrm{GL}_3(q)$ and we treat them separately in the next section. We then focus the rest of the paper on the irreducible fixed-point subgroups of $\mathrm{GL}_3(q)$.

2. PARABOLIC FIXED-POINT SUBGROUPS OF $\mathrm{GL}_3(q)$

Let G be a semisimple subgroup of $\mathrm{GL}_3(q)$. We break the proof of Theorem 1.2.1 into two cases, depending on whether the action of G on k^3 is reducible or irreducible. In case of a reducible representation, G lies in a parabolic subgroup (Type \mathcal{C}_1) of $\mathrm{GL}_3(q)$, and the irreducible factors are either all one-dimensional, or consist of a 2-dimensional and 1-dimensional factor. (In both cases, we replace the representations with their semisimplifications.) Moreover, we require the classification of subgroups of a direct product, given by Goursat's Lemma [2, p. 864].

Theorem (Goursat's Lemma). *Let A and B be finite groups. The subgroups G of $A \times B$ are in one-to-one correspondence with the tuples (G_1, G_2, G_3, ψ) where $G_1 \subset A, G_2 \subset B, G_3 \triangleleft G_2$, and $\psi : G_1 \rightarrow G_2/G_3$ is a surjective homomorphism.*

Beginning with the case where G is a subgroup of the diagonal subgroup C_{q-1}^3 of $\mathrm{GL}_3(q)$, we write $G \subset (C_{q-1} \times C_{q-1}) \times C_{q-1}$. We can describe G via two ‘‘Goursat-tuples’’:

$$(H_1, H_2, H_3, \psi), \text{ where } H_1 \subset C_{q-1} \times C_{q-1}, H_2 \subset C_{q-1}, \text{ and}$$

$$(D_1, D_2, D_3, \phi), \text{ where } D_1 \subset C_{q-1}, D_2 \subset C_{q-1},$$

and (D_1, D_2, D_3, ϕ) is the Goursat-tuple corresponding to $H_1 \subset C_{q-1} \times C_{q-1}$.

Lemma 2.0.1. *Suppose G , acting diagonally on \mathbf{F}_q^3 , is a fixed-point subgroup that does not fix a line. Then q is odd and $G \simeq C_2 \times C_2$.*

Proof. With all notation as above, we assume $G \subset (C_{q-1} \times C_{q-1}) \times C_{q-1}$ is given by the Goursat-tuple (H_1, H_2, H_3, ψ) where $H_1 \subset (C_{q-1} \times C_{q-1})$, and H_1 is given by the Goursat-tuple (D_1, D_2, D_3, ϕ) . Let S be the subset of H_1 consisting of pairs (x, y) such that neither x nor y is 1. We will show that unless G is the group specified in the statement of the Lemma, the size of S forces G to fix a line. We make several elementary observations:

- (1) S lies in $\ker \psi$ (G is a fixed-point group).
- (2) H_3 is trivial (G contains the elements of the form $(\ker \psi, H_3)$, $S \subset \ker \psi$, and G is a fixed-point group).
- (3) Therefore $\psi : H_1 \rightarrow H_2$ is a surjective homomorphism.

We will give several estimates of $\#S$ below, and so we set the following notation:

$$h_i = \#H_i, \quad d_i = \#D_i, \quad k = \#\ker \psi, \quad l = \#\ker \phi.$$

Combining the observations we immediately see that

$$(2.0.2) \quad k = h_1/h_2 \geq \#S + 1,$$

where the '+1' is due to the identity of H_1 .

Since H_1 is given by the Goursat-tuple (D_1, D_2, D_3, ϕ) , we can write $h_1 = d_1 d_3$. We can estimate the size of S by writing $\#S = h_1 -$ the number of elements (x, y) of H_1 with at least one x or y trivial; that is:

$$\#S = d_1 d_3 - l - d_3 + 1.$$

Comparing this to (2.0.2), we get our first estimate

$$(2.0.3) \quad d_1 d_3/h_2 \geq d_1 d_3 - l - d_3 + 2.$$

But since $l \leq d_1$, we can refine (2.0.3) to get our second estimate

$$(2.0.4) \quad d_1 d_3/h_2 \geq d_1 d_3 - d_1 - d_3 + 2 = (d_1 - 1)(d_3 - 1) + 1.$$

It is easy to check that the only integer triples (d_1, d_3, h_2) with $d_3 \geq 1$ and $d_1, h_2 \geq 2$ (recall G is a non-trivial fixed-point group) satisfying (2.0.4) are of the form $(d_1, 2, 2)$ or $(2, d_3, 2)$.

If q is even then there is no such subgroup of G since $q - 1$ is odd, so we suppose q is odd. We will work through the details of the case $(d_1, 2, 2)$ and omit those of the case $(2, d_3, 2)$ since they are nearly identical. Therefore we consider the group

$$H_1 = \{(g, \phi(g)) \mid g \in D_1 \text{ and } \phi : D_1 \rightarrow D_2/\{\pm 1\}\}.$$

In general, there are $2d_1/d_2 + 1$ pairs in H_1 with a 1 in one of the components. Therefore, there are

$$2d_1 - (2d_1/d_2 + 1)$$

with both components nontrivial. We impose this condition on the estimate of k :

$$k \geq 2d_1 - (2d_1/d_2 + 1) + 1 = 2d_1 - 2d_1/d_2.$$

Notice that if $d_2 > 2$ then G would be a trivial fixed-point group since we would have $\ker \psi = H_1$ and so H_2 would coincide with H_3 , which is trivial. Therefore we may assume $d_2 = 2$.

Since $d_2 = d_3 = 2$, this means $D_2 = D_3 = \{\pm 1\}$ and so H_1 is a direct product: $H_1 = D_1 \times \{\pm 1\}$. Including the identity, there are at least d_1 elements of H_1 that must lie in $\ker \psi$:

$$(1, 1), (g, -1), \dots, (g^{d_1-1}, -1),$$

where g is a generator of D_1 . Since $\ker \psi$ is a subgroup of H_1 , it follows that $(g^2, 1) \in \ker \psi$ as well. Unless $g^2 = 1$, this forces $\ker \psi = H_1$ and G to be a trivial fixed-point group. We conclude that $H_1 = \{\pm 1\} \times \{\pm 1\}$. Together with $[H_2 : H_3] = 2$ and $H_3 = 1$ we get exactly the group $C_2 \times C_2$ as claimed in the Lemma, which is given explicitly in terms of matrices as

$$\begin{pmatrix} \epsilon_1 & & \\ & \epsilon_2 & \\ & & \epsilon_1 \epsilon_2 \end{pmatrix},$$

where $\epsilon_i \in \{\pm 1\}$. □

Next suppose that $G \subset \mathrm{GL}_2(q) \times \mathrm{GL}_1(q)$ is semisimple with irreducible projection onto $\mathrm{GL}_2(q)$. Since G is a subgroup of a direct product, it is given by a Goursat-tuple (H_1, H_2, H_3, ψ) , with $H_1 \subset \mathrm{GL}_2(q)$. As above, we only classify those G which are not direct products, that is $H_2 \neq H_3$.

Observation. If $G \subset \mathrm{GL}_2(q) \times \mathrm{GL}_1(q)$ is a fixed-point subgroup that does not fix a line and is given by the Goursat-tuple (H_1, H_2, H_3, ψ) , then H_3 is trivial. This follows because any $g \in H_1$ without a fixed point is paired via ψ with H_3 .

Lemma 2.0.5. *With all notation as above, if G is a fixed-point subgroup of $\mathrm{GL}_2(q) \times \mathrm{GL}_1(q)$ that does not fix a line, then H_1 is a proper subgroup of $\mathrm{GL}_2(q)$.*

Proof. It is an elementary counting problem to show that more than half the elements of $\mathrm{GL}_2(q)$ do not have a fixed point once $q > 2$ – use the fact that there are

- $q^2(q-1)^2/2$ elements with eigenvalues in a quadratic extension
- $q-2$ non-trivial central elements, and
- $(q-2)(q-1)(q+1)$ non-diagonalizable elements without a fixed point.

Dividing by the size of $\mathrm{GL}(2, q)$, we get

$$\frac{q^2(q-1)^2/2 + (q-2) + (q-2)(q-1)(q+1)}{q^4 - q^3 - q^2 + q} = \frac{q^3 - 3q}{2q^3 - 2q^2 - 2q + 2} > \frac{1}{2}.$$

Thus if $H_1 = \mathrm{GL}_2(q)$, then $\ker \psi = \mathrm{GL}_2(q)$ and so $H_2 = H_3$. But since H_3 is trivial by the observation above, we have that H_2 is trivial. When $q = 2$, H_2 is trivial. □

By Lemma 2.0.5, H_1 must lie in a proper subgroup of $\mathrm{GL}_2(q)$ and hence lies in a maximal subgroup of $\mathrm{GL}_2(q)$. By [12, Thm. 2.3], the subgroups H of $\mathrm{GL}_2(q)$ not containing $\mathrm{SL}_2(q)$ are described as follows (we use PH to denote the image of H in $\mathrm{PGL}_2(q)$):

- (1) If H contains an element of order q then either G lies in a Borel subgroup or $\mathrm{SL}_2(q) \subset H$;
- (2) PH is cyclic and H is contained in a Cartan group;
- (3) PH is dihedral and H is contained in the normalizer of a Cartan group but not in the Cartan subgroup itself;
- (4) PH is isomorphic to Alt_4 , Sym_4 , or Alt_5 .

Returning to our setup, if H_1 lies in a Borel or a Cartan, then H_1 is not irreducible. We therefore focus only on cases (3) and (4) of the subgroup classification of $\mathrm{GL}_2(q)$. We recall from [16, §3] the explicit description of the normalizers of the Cartan subgroups of $\mathrm{GL}_2(q)$ and adopt that notation in what follows.

Let $C_s(q)$ and $C_{ns}(q)$ denote the maximal split and nonsplit Cartan subgroups, respectively. Then $C_s(q) \simeq \mathbf{F}_q^\times \times \mathbf{F}_q^\times$ and $C_{ns}(q) \simeq \mathbf{F}_{q^2}^\times$ and each Cartan group has

index 2 in its normalizer, which we denote by $C_s^+(q)$ and $C_{ns}^+(q)$, respectively, borrowing the notation of [16]. Each normalizer has a distinguished dihedral subgroup, $D_s(q)$ and $D_{ns}(q)$, respectively, where

$$D_s(q) \cap C_s(q) = C_s(q) \cap \mathrm{SL}_2(q) \text{ and } D_{ns}(q) \cap C_{ns}(q) = C_{ns}(q) \cap \mathrm{SL}_2(q).$$

That is, the ‘‘rotation’’ group of $D_s(q)$ (resp. $D_{ns}(q)$) consists of the elements of $C_s(q)$ (resp. $C_{ns}(q)$) of determinant (norm) 1. It follows that

$$\begin{aligned} D_s(q) &\simeq D_{q-1} \\ D_{ns}(q) &\simeq D_{q+1}. \end{aligned}$$

Each dihedral group admits a surjective homomorphism to C_2 and when q is odd we can realize that homomorphism in the Goursat-tuples

$$D_{q-1} \simeq (D_s(q), \{\pm 1\}, 1, \psi) \text{ and } D_{q+1} \simeq (D_{ns}(q), \{\pm 1\}, 1, \psi).$$

It is easy to check that both dihedral groups are fixed-point subgroups of $\mathrm{GL}_2(q) \times \mathrm{GL}_1(q)$ with irreducible projection to $\mathrm{GL}_2(q)$ that do not fix a line in \mathbf{F}_q^3 . We will show in Proposition 2.0.6 below that these are the only such groups. In preparation for the proof we make some observations.

Observations. Let $G \subset \mathrm{GL}_2(q) \times \mathrm{GL}_1(q)$ have Goursat-tuple (H_1, H_2, H_3, ψ) and suppose H_1 is an irreducible subgroup of $\mathrm{GL}_2(q)$ that normalizes a Cartan subgroup. Let G be a fixed-point group.

- (1) The normalizer of the split Cartan group has exactly $3q - 4$ elements with a fixed point; by fixing a basis, we can write these elements explicitly as

$$\left\{ \begin{pmatrix} x & 0 \\ 0 & y \end{pmatrix} \mid x \text{ or } y = 1 \right\} \cup \left\{ \begin{pmatrix} 0 & z \\ z^{-1} & 0 \end{pmatrix} \mid z \neq 0 \right\}$$

- (2) The normalizer of the non-split Cartan group has exactly q non-trivial elements with a fixed point, all of which belong to the non-trivial coset of the Cartan subgroup.
- (3) If G does not fix a line, then H_1 must contain at least

$$\#H_1 \cdot \frac{\#H_2 - 1}{\#H_2}$$

elements with a fixed point.

Proposition 2.0.6. *Let $G \subset \mathrm{GL}_2(q) \times \mathrm{GL}_1(q)$ be a fixed point group with Goursat-tuple (H_1, H_2, H_3, ψ) and suppose H_1 normalizes a Cartan subgroup.*

If q is even, then H_2 is trivial and so G fixes a line in \mathbf{F}_q^3 . If q is odd then either H_2 is trivial (and so G fixes a line), or G is dihedral with Goursat-data $(D_s(q), \{\pm 1\}, 1, \psi)$ or $(D_{ns}(q), \{\pm 1\}, 1, \psi)$.

Proof. We only sketch the proof since it comes down an exercise in matrix manipulation. Suppose H_2 is non-trivial. Because H_1 normalizes a split Cartan subgroup, its maximal order is $2(3q - 4)$ in the split case and $2(q + 1)$ in the non-split case, by combining Observations (2) and (4) above. When q is odd, in order to create a subgroup H_1 (and not merely a subset) satisfying the hypotheses of the Proposition, matrix manipulation shows that H_1 must be a subgroup of $D_s(q)$ in the split case and $D_{ns}(q)$ in the non-split case and $H_2 = \{\pm 1\}$. When q is even, $\#H_2$ is odd and so at least $2/3$ of the elements of H_1 must have a fixed point and H_1 must admit a cyclic odd-order quotient with all non-kernel elements having a fixed point. No such subgroup exists. \square

We conclude this section by analyzing the subgroups of $\mathrm{GL}_2(q)$ with projective image Alt_4 , Sym_4 , and Alt_5 . Let $PH \in \{\mathrm{Alt}_4, \mathrm{Sym}_4, \mathrm{Alt}_5\}$. The central extensions of PH are classified by the Schur multiplier. Neither Alt_4 nor Alt_5 has an ordinary 2-dimensional irreducible representation, hence any central extension $H \subset \mathrm{GL}_2(q)$ of PH must be non-trivial for these groups. When $PH = \mathrm{Sym}_4$, the trivial central extensions of Sym_4 do occur as subgroups of $\mathrm{GL}_2(q)$.

In all cases, the Schur multiplier of PH has exponent 2, hence any central extension has the form $2.PH$ times a group of scalar matrices, the order of which can be deduced from [16, Lemma 3.21]. The isomorphism types of $2.PH$ that occur as subgroups of $\mathrm{GL}_2(q)$ are as follows

$$\begin{aligned} & 2.\mathrm{Alt}_4 \simeq \mathrm{SL}_2(3) \\ & 2.\mathrm{Alt}_5 \simeq \mathrm{SL}_2(5) \\ & 2.\mathrm{Sym}_4 \simeq \begin{cases} 2_1.\mathrm{Sym}_4 \simeq \mathrm{Alt}_4 \rtimes C_4 \\ 2_2.\mathrm{Sym}_4 \simeq \mathrm{SL}_2(3).C_2 \text{ (nonsplit)} \\ 2_3.\mathrm{Sym}_4 \simeq \mathrm{GL}_2(3) \\ 2_4.\mathrm{Sym}_4 \simeq C_2 \times \mathrm{Sym}_4 \end{cases} \end{aligned}$$

The complexity of the groups $2.\mathrm{Sym}_4$ is due to the fact that the Schur multiplier $H^2(\mathrm{Sym}_4, C_2) \simeq C_2 \times C_2$. We now investigate the groups H for their fixed-point properties.

2.1. Projective Image Alt_4 . Let q be coprime to 6. Let H be a subgroup of $\mathrm{GL}_2(q)$ such that $PH \simeq \mathrm{Alt}_4$. There are three inequivalent absolutely irreducible ordinary representations σ_1 , σ_2 , and σ_3 of $\mathrm{SL}_2(3)$, with character values as follows (ω denotes a fixed primitive 3rd root of unity):

Class	1	2	3A	3B	4	6A	6B
χ_1	2	-2	-1	-1	0	1	1
χ_2	2	-2	$1 + \omega$	$-\omega$	0	ω	$-1 - \omega$
χ_3	2	-2	$-\omega$	$1 + \omega$	0	$-1 - \omega$	ω

The representation σ_1 is defined over \mathbf{Z} and $\sigma_1(\mathrm{SL}_2(3)) \subset \mathrm{SL}_2(q)$, while $\sigma_2(\mathrm{SL}_2(3))$ and $\sigma_3(\mathrm{SL}_2(3))$ define subgroups of $\mathrm{GL}_2(q)$ when $q \equiv 1 \pmod{3}$. In any of the three representations, the only class with a fixed point is the identity.

Lemma 2.1.1. *Let H be a maximal preimage of Alt_4 in $\mathrm{GL}_2(q)$. Let $G \subset \mathrm{GL}_2(q) \times \mathrm{GL}_1(q)$ be a fixed point subgroup of $\mathrm{GL}_3(q)$ with Goursat-tuple (H_1, H_2, H_3, ψ) . Suppose H_1 is an irreducible subgroup of H . Then H_2 is trivial.*

Proof. If H is a maximal preimage of Alt_4 , then it is a product of scalar matrices and the non-trivial extension $2.\mathrm{Alt}_4$ of Alt_4 . Since all elements of H without a fixed point must belong to $\ker \psi$, it follows that $2.\mathrm{Alt}_4$ is a subgroup of $\ker \psi$ as well as the group of scalar matrices. Thus ψ is the trivial homomorphism, whence H_2 is trivial. \square

Now we consider the special cases of modular characteristic. If q is even then any group H such that $PH = \mathrm{Alt}_4$ is not irreducible in $\mathrm{GL}_2(q)$ [11, Lemma 6.1]. If q is a power of 3 then the isomorphism $2.\mathrm{Alt}_4 \simeq \mathrm{SL}_2(3)$ shows that $2.\mathrm{Alt}_4$ occurs naturally as a subfield subgroup of $\mathrm{GL}_2(q)$. The same counting argument of Lemma 2.0.5 shows that more than half the elements of H do not have a fixed point, and hence H cannot give rise to a non-trivial fixed-point subgroup of $\mathrm{GL}_3(q)$.

2.2. Projective Image Alt_5 . Let q be coprime to 30. Then there are two inequivalent ordinary absolutely irreducible representations σ_1 and σ_2 of $\mathrm{SL}_2(5)$, with the following character data.

class	1	2	3	4	5A	5B	6	10A	10B
χ_1	2	-2	-1	0	$\frac{-1+\sqrt{5}}{2}$	$\frac{-1-\sqrt{5}}{2}$	1	$\frac{1+\sqrt{5}}{2}$	$\frac{1-\sqrt{5}}{2}$
χ_2	2	-2	-1	0	$\frac{-1-\sqrt{5}}{2}$	$\frac{-1+\sqrt{5}}{2}$	1	$\frac{1-\sqrt{5}}{2}$	$\frac{1+\sqrt{5}}{2}$

In both representations the only element with a fixed point is the identity.

Lemma 2.2.1. *Let H be a maximal preimage of Alt_5 in $\mathrm{GL}_2(q)$. Let $G \subset \mathrm{GL}_2(q) \times \mathrm{GL}_1(q)$ be a fixed point subgroup of $\mathrm{GL}_3(q)$ with Goursat-tuple (H_1, H_2, H_3, ψ) . Suppose H_1 is an irreducible subgroup of H . Then H_2 is trivial.*

Proof. The proof is identical to that of Lemma 2.1.1. \square

In modular characteristic, if q is even then the isomorphism $\mathrm{Alt}_5 \simeq \mathrm{SL}_2(4) = \mathrm{PSL}_2(4)$ shows that Alt_5 occurs as a subfield subgroup of $\mathrm{SL}_2(q)$ (once $q > 4$). The same counting argument of Lemma 2.0.5 shows that more than half the elements of H do not have a fixed point, and hence H cannot give rise to a non-trivial fixed-point subgroup of $\mathrm{GL}_3(q)$. The same argument applies when q is a power of 5 via the isomorphism $2.\mathrm{Alt}_5 \simeq \mathrm{SL}_2(5)$.

If q is a power of 3 then $2.\mathrm{Alt}_5$ only occurs as a subgroup of $\mathrm{GL}_2(q)$ when q is an even power of 3, since it is required that $5 \in (\mathbf{F}_q^\times)^2$. And if q is an even power of 3, then \mathbf{F}_q contains \mathbf{F}_9 , so it suffices to work in $\mathrm{GL}_2(9)$. In $\mathrm{GL}_2(9)$, the group $2.\mathrm{Alt}_5$ has 15 elements without a fixed point, hence $\ker \psi = 2.\mathrm{Alt}_5$ and so H_2 is trivial.

2.3. Projective Image Sym_4 . Let q be coprime to 6. We consider the four groups $2_i.\mathrm{Sym}_4$ separately for $i = 1, 2, 3, 4$. The group $2_1.\mathrm{Sym}_4$ has no faithful irreducible degree 2 ordinary representations and we do not consider unfaithful representations in this analysis for fixed-point subgroups.

The group $2_2.\mathrm{Sym}_4$ has two faithful irreducible ordinary degree-2 representations σ_1, σ_2 with character data:

Class	1	2	3	4A	4B	6	8A	8B
χ_1	2	-2	-1	0	0	1	$\sqrt{2}$	$-\sqrt{2}$
χ_2	2	-2	-1	0	0	1	$-\sqrt{2}$	$\sqrt{2}$

In the representations σ_1 and σ_2 , the group $2_2.\mathrm{Sym}_4$ has no non-trivial elements with a fixed point.

Lemma 2.3.1. *Let H be a maximal preimage of Sym_4 in $\mathrm{GL}_2(q)$ that contains $2_2.\mathrm{Sym}_4$. Let $G \subset \mathrm{GL}_2(q) \times \mathrm{GL}_1(q)$ be a fixed point subgroup of $\mathrm{GL}_3(q)$ with Goursat-tuple (H_1, H_2, H_3, ψ) . Suppose H_1 is an irreducible subgroup of H . Then H_2 is trivial.*

Proof. The proof is identical to that of Lemma 2.1.1. \square

The group $2_3.\mathrm{Sym}_4$ has two faithful irreducible ordinary degree-2 representations σ_1, σ_2 with character data:

Class	1	2A	2B	3	4	6	8A	8B
χ_1	2	-2	0	-1	0	1	$-\sqrt{-2}$	$\sqrt{-2}$
χ_2	2	-2	0	-1	0	1	$\sqrt{-2}$	$-\sqrt{-2}$

In both representations there are exactly 35 elements without a fixed point.

Lemma 2.3.2. *Let H be a maximal preimage of Sym_4 in $\text{GL}_2(q)$ that contains $2_3.\text{Sym}_4$. Let $G \subset \text{GL}_2(q) \times \text{GL}_1(q)$ be a fixed point subgroup of $\text{GL}_3(q)$ with Goursat-tuple (H_1, H_2, H_3, ψ) . Suppose H_1 is an irreducible subgroup of H . Then H_2 is trivial.*

Proof. Any element of $2_3.\text{Sym}_4$ without a fixed point belongs to $\ker \psi$, whence $\ker \psi$ contains $2_3.\text{Sym}_4$ and the scalar matrices. Thus $\ker \psi = H_1$ and so H_2 is trivial. \square

The group $2_4.\text{Sym}_4$ has two unfaithful irreducible degree-2 representations and we do not consider unfaithful representations in this analysis.

We finish this section by considering the groups $2_2.\text{Sym}_4$ and $2_3.\text{Sym}_4$ in modular characteristic. If q is even then neither $2_2.\text{Sym}_4$ nor $2_3.\text{Sym}_4$ is irreducible [11, Lemma 6.1]. If q is a power of 3 then the isomorphism $2_3.\text{Sym}_4 \simeq \text{GL}_2(3)$ shows that H_1 occurs as a subfield subgroup of $\text{GL}_2(q)$. The same counting argument of Lemma 2.0.5 shows that more than half the elements of H do not have a fixed point, and hence H cannot give rise to a non-trivial fixed-point subgroup of $\text{GL}_3(q)$. Finally, $2_2.\text{Sym}_4$ contains $\text{SL}_2(3)$ as index-2 subgroup and the full group $2_2.\text{Sym}_4$ is contained in $\text{GL}_2(9)$. Again, the same counting argument of Lemma 2.0.5 shows that there are no non-trivial fixed-point subgroups in this case.

3. THE IRREDUCIBLE FIXED-POINT SUBGROUPS OF $\text{GL}_3(q)$

In this section we complete the proof of Theorem 1.2.1 in a case-by-case analysis based on the maximal subgroup classes.

3.1. Subgroups of Type \mathcal{C}_2 . The maximal subgroup of $\text{GL}_3(q)$ of type \mathcal{C}_2 is isomorphic to $\text{GL}_1(q) \wr \text{Sym}_3$ as long as $q \geq 5$. If G is a subgroup of $\text{GL}_1(q) \wr \text{Sym}_3$, then G fits into a split short exact sequence

$$1 \rightarrow G_0 \rightarrow G \rightarrow P \rightarrow 1,$$

where G_0 is a subgroup of $\text{GL}_1(q)^3$ and P is subgroup of Sym_3 . If G is a fixed-point subgroup of $\text{GL}_1(q) \wr \text{Sym}_3$, then so is G_0 . By Lemma 2.0.1, either G_0 fixes a line or $G_0 \simeq C_2 \times C_2$.

Lemma 3.1.1. *Suppose G_0 fixes a line. Then any lift G of G_0 to $\text{GL}_1(q) \wr \text{Sym}_3$ fixes a line as well. Therefore there are no irreducible fixed-point subgroups of Type \mathcal{C}_2 when q is even, or when G_0 fixes a line.*

Proof. If G_0 fixes a line then consider the permutation group P . If P is trivial or has order 2, then G is reducible and fixes a line. So we assume P contains a 3-cycle. Choosing a basis with respect to which G_0 fixes the first coordinate, we see that G contains matrices of the form

$$M(\alpha, \beta) \stackrel{\text{def}}{=} \begin{pmatrix} 1 & 0 & 0 \\ 0 & \alpha & 0 \\ 0 & 0 & \beta \end{pmatrix} \quad \text{and} \quad s \stackrel{\text{def}}{=} \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix}.$$

In order for a matrix of the form $M(\alpha, \beta)s$ to have a fixed point, we must take $\alpha\beta = 1$. Continuing, the product $M(\alpha, \alpha^{-1})s^2M(\alpha, \alpha^{-1})^2s$ has a fixed point if and only if $\alpha \in \{\pm 1\}$. Finally,

$$sM(-1, -1)s^2 = \begin{pmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{pmatrix},$$

which shows G_0 can only contain the identity matrix $M(1, 1)$. But the full permutation group Sym_3 fixes a line in this representation. Thus, there are no irreducible fixed-point subgroups G such that G_0 fixes a line. \square

Lemma 3.1.2. *Let q be odd. Suppose G is an irreducible fixed-point subgroup of $\mathrm{GL}_1(q) \wr \mathrm{Sym}_3$. Then G is isomorphic to Alt_4 or Sym_4 .*

Proof. By Lemmas 2.0.1 and 3.1.1, we can assume $G_0 \simeq C_2 \times C_2$, given explicitly by

$$\left\{ \begin{pmatrix} \epsilon_1 & & \\ & \epsilon_2 & \\ & & \epsilon_1 \epsilon_2 \end{pmatrix} : \epsilon_i \in \{\pm 1\} \right\}.$$

An easy calculation shows that the full wreath product $(C_2 \times C_2) \rtimes \mathrm{Sym}_3 \simeq \mathrm{Sym}_4$ is an irreducible fixed-point subgroup of $\mathrm{GL}_1(q) \wr S_3$, as well as its subgroup Alt_4 . \square

Remarks. It remains to discuss what happens for $q < 5$. When $q = 2$, the group of type \mathcal{C}_2 is not maximal in $\mathrm{SL}_3(q)$, but belongs to the reducible maximal subgroup class of type \mathcal{C}_1 . When $q = 3$ the classes \mathcal{C}_2 and \mathcal{C}_8 coincide, in light of the isomorphism $\mathrm{SO}_3(3) \simeq \mathrm{Sym}_4$, so this group can be considered as an irreducible fixed-point subgroup of Type \mathcal{C}_8 as well. When $q = 4$, the group $\mathrm{GL}_1(4) \wr \mathrm{Sym}_3$ is not a maximal subgroup of $\mathrm{GL}_3(4)$ [1, Prop. 2.3.6].

3.2. Subgroups of Type \mathcal{C}_3 . There are no irreducible fixed-point subgroups of $\mathrm{GL}_3(q)$ in this class, as we now show. The maximal subgroup of $\mathrm{GL}_3(q)$ in this class is isomorphic to $\mathrm{GL}_1(q^3).3$, with outer automorphisms given by the Galois group $\mathrm{Gal}(\mathbf{F}_{q^3}/\mathbf{F}_q)$.

Remark. When $q = 4$ the restriction of $\mathrm{GL}_1(4).3$ to $\mathrm{SL}_3(4)$ is not maximal (see Table 1.3) in $\mathrm{SL}_3(4)$, but $\mathrm{GL}_1(4).3$ is maximal in $\mathrm{GL}_3(4)$.

Let $G \subset \mathrm{GL}_1(q^3).3$ be a fixed-point subgroup. Then G fits into the short exact sequence

$$1 \rightarrow N \rightarrow G \rightarrow Q \rightarrow 1,$$

where N is a cyclic group of order dividing $q^3 - 1$ and Q is either trivial or isomorphic to C_3 .

Let g be a generator for the group $\mathrm{GL}_1(q^3)$ and σ a generator of $\mathrm{Gal}(\mathbf{F}_{q^3}/\mathbf{F}_q)$; in this representation the eigenvalues of g have the form $\gamma, \gamma^\sigma, \gamma^{\sigma^2}$. Because $\mathrm{GL}_1(q^3)$ is cyclic, and because the eigenvalues of any power of g are powers of γ, γ^σ , and γ^{σ^2} , it follows that the only element of $\mathrm{GL}_1(q^3)$ with a fixed point is the identity. The trivial group lifts to a cyclic group of order 3 inside $\mathrm{GL}_1(q^3).3$, and every element of such a C_3 has a fixed point, but the group is not irreducible.

3.3. Subgroups of Type \mathcal{C}_5 . These are the field-restriction subgroups of $\mathrm{GL}_3(q)$. That is, if we can write $q = q_0^r$, then $\mathrm{GL}_3(q_0)$ is naturally a subgroup of $\mathrm{GL}_3(q)$. When r is prime the group generated by $\mathrm{GL}_3(q_0)$ and the center $Z(q)$ of $\mathrm{GL}_3(q)$ is the maximal subgroup of $\mathrm{GL}_3(q)$ of type \mathcal{C}_5 .

Suppose r is prime and let $\mathcal{G} = \langle \mathrm{GL}_3(q_0), Z(q) \rangle$. Let G be an irreducible fixed point subgroup of \mathcal{G} . Because no nontrivial element of $Z(q)$ has a fixed point, it follows that G is an irreducible fixed-point subgroup of $\mathrm{GL}_3(q_0)$. Since we seek to classify the subgroups of Type \mathcal{C}_5 , we may assume (by descent) that G is an irreducible fixed-point subgroup of $\mathrm{GL}_3(p)$, hence lies in a subgroup class other

than \mathcal{C}_5 . Therefore, the class \mathcal{C}_5 contains no irreducible fixed-point subgroups of $\mathrm{GL}_3(q)$ that are not already contained in another class.

3.4. Subgroups of Type \mathcal{C}_6 . There are no irreducible, fixed-point subgroups of $\mathrm{GL}_3(q)$ in this class, as we now show. We first classify the fixed point subgroups of $\mathrm{SL}_3(q)$ in this class and then lift them to $\mathrm{GL}_3(q)$. Recall from Table 1.3 that $q = p \equiv 1 \pmod{3}$.

Lemma 3.4.1. *Let G be a nontrivial fixed-point subgroup of $3_+^{1+2}.Q_8.\frac{(q-1,9)}{3} \subset \mathrm{SL}_3(q)$. Then $G \simeq Q_8$ or $G \simeq C_3$.*

Proof. This is a finite computation, easily performed in **Magma**, and we omit the details. The result is that there are, up to isomorphism, two fixed-point subgroups of $3_+^{1+2}.Q_8.\frac{(q-1,9)}{3}$: a cyclic group of order 3, and Q_8 . \square

Lemma 3.4.2. *There is no irreducible fixed-point subgroup of $\mathrm{GL}_3(q)$ of Type \mathcal{C}_6 that restricts to Q_8 .*

Proof. The group Q_8 is normal in any subgroup of $\mathrm{GL}_3(q)$ that restricts to $Q_8 \subset \mathrm{SL}_3(q)$. The three-dimensional representation of Q_8 decomposes into a 2-dimensional factor and a 1-dimensional factor. By Clifford's theorem, any lift of Q_8 to $\mathrm{GL}_3(q)$ retains this decomposition, whence there are no irreducible subgroups of $\mathrm{GL}_3(q)$ restricting to Q_8 . \square

Lemma 3.4.3. *There is no irreducible fixed-point subgroup of $\mathrm{GL}_3(q)$ of Type \mathcal{C}_6 that restricts to the fixed-point $C_3 \subset 3_+^{1+2}.Q_8.\frac{(q-1,9)}{3} \subset \mathrm{SL}_3(q)$.*

Proof. Because $q \equiv 1 \pmod{3}$, the representation of the fixed-point C_3 is completely reducible and decomposes into three 1-dimensional representations, one of which is trivial. By Clifford's theorem, the representation of any subgroup of $\mathrm{GL}_3(q)$ restricting to C_3 is either a sum of three one-dimensional representations, or is irreducible. If it were irreducible, the three one-dimensional representations of C_3 (upon restriction) would be conjugate. Since only one of the three is trivial, and a non-trivial representation cannot be conjugate to a trivial, it follows that the representation of any overgroup $C_3.m$ of C_3 is not irreducible. This proves the lemma. \square

3.5. Subgroups of Type \mathcal{C}_8 . There are two isomorphism types of maximal subgroups of $\mathrm{SL}_3(q)$ of Type \mathcal{C}_8 , namely $d \times \mathrm{SO}_3(q)$ and $(q_0 - 1, 3) \times \mathrm{SU}_3(q_0)$ if $q = q_0^2$. Moreover, this class contains no novel subgroups. We first consider the case of $d \times \mathrm{SO}_3(q)$.

The group $\mathrm{SO}_3(q)$ is a fixed-point group [8, Prop. 6.10] and is irreducible in odd characteristic. If $q \not\equiv 1 \pmod{3}$ then $\mathrm{SO}_3(q)$ is maximal in $\mathrm{SL}_3(q)$, while if $q \equiv 1 \pmod{3}$ then $d \times \mathrm{SO}_3(q)$ is maximal, with d a scalar group of order 3. Because d is scalar, the maximal fixed-point subgroup of $d \times \mathrm{SO}_3(q)$ is $\mathrm{SO}_3(q)$. Thus, for all q , the maximal fixed-point subgroup of $\mathrm{SL}_3(q)$ of Type \mathcal{C}_8 is $\mathrm{SO}_3(q)$.

It remains to determine whether there exist fixed-point groups H that fit into the sequence

$$\mathrm{SO}_3(q) \subset H \subset \mathrm{GL}_3(q)$$

of proper containments. The groups of Type \mathcal{C}_8 are *scalar-normalizing* [1, Def. 4.4.4] in the sense that any such group H has the presentation $\mathrm{SO}_3(q)Z$, where Z is a subgroup of the scalars of $\mathrm{GL}_3(q)$. Thus, any overgroup H properly containing $\mathrm{SO}_3(q)$

is necessarily not a fixed-point group (some non-trivial element of Z multiplies the identity of $SO_3(q)$). We therefore have the following result.

Lemma 3.5.1. *Let q be a power of an odd prime. The maximal irreducible fixed point subgroup of $GL_3(q)$ containing $SO_3(q)$ is $SO_3(q)$.*

Next we consider the case of the subgroup $(q_0 - 1, 3) \times SU_3(q_0)$ of $SL_3(q)$ and more generally the subgroup $GU_3(q_0)$ of $GL_3(q)$. We will show that there are no additional irreducible fixed-point subgroups arising in this class that have not already been classified. First, the group $GU_3(q_0)$ is not itself a fixed-point group hence any irreducible fixed-point subgroup must lie in one of its maximal subgroups. First we list the non-parabolic maximal subgroups of $GU_3(q_0)$ and $SU_3(q_0)$.

- Type \mathcal{C}_2 : $GU_1(q_0) \wr \text{Sym}_3$ is the maximal subgroup of $GU_3(q_0)$ of Type \mathcal{C}_2 . The same argument as in Lemma 3.1.2 applies and shows the maximal irreducible fixed-point subgroup is isomorphic to Sym_4 .
- Type \mathcal{C}_3 : $GU_1(q_0^3).3$ is the maximal subgroup of $GU_3(q_0)$ of Type \mathcal{C}_3 . The same argument as in Section 3.2 applies and shows there are no irreducible fixed point subgroups in this class.
- Type \mathcal{C}_5 : There are two subgroups of $SU_3(q_0)$ in this class: $SU_3(q_1). \left(\frac{q+1}{q_1+1}, 3 \right)$ (if $q_0 = q_1^r$ for prime r) and $SO_3(q)$.
- Type \mathcal{C}_6 : There is one maximal subgroup of $SU_3(q_0)$ in this class: $3_+^{1+2}.Q_8. \frac{(q+1,9)}{3}$.
- Type \mathcal{S} : There are four isomorphism classes of subgroups of Type \mathcal{S} of $SU_3(q)$:
 - $d \times L_2(7)$ (d conjugates; $q = p \equiv 3, 5, 6 \pmod{7}$, $q \neq 5$), where $d = \gcd(q+1, 3)$
 - $3 \cdot \text{Alt}_6$ (3 conjugates; $q = p \equiv 11, 14 \pmod{15}$)
 - $3 \cdot \text{Alt}_6.2_3$ (3 conjugates; $q = 5$)
 - $3 \cdot \text{Alt}_7$ (3 conjugates; $q = 5$)

Many of the same arguments as in the previous sections apply here as well. In particular:

- In Class \mathcal{C}_5 the same descent argument as in Section 3.3 shows that it is enough to classify the maximal subgroups of $SU_3(p)$.
- In Class \mathcal{C}_6 the same argument as in Section 3.4 applies as well: the only fixed-point subgroups of $3_+^{1+2}.Q_8. \frac{(q+1,9)}{3}$ are C_3 and Q_8 and, by the same Clifford's theorem argument, any lift to $GU_3(q)$ is reducible.

It remains to analyze the subgroups of Type \mathcal{S} . We delay our treatment of $d \times L_2(7)$ and $3 \cdot \text{Alt}_6$ until the next section so that we can give a unified treatment of these two groups; they occur as maximal subgroups of $SL_3(q)$ for certain q and $SU_3(q)$ for others. We now consider the two subgroups $3 \cdot \text{Alt}_6.2_3$ and $3 \cdot \text{Alt}_7$ of $SU_3(5)$.

In both cases, we search in the subgroup lattices of $3 \cdot \text{Alt}_6.2_3$ and $3 \cdot \text{Alt}_7$ for fixed-point subgroups. One can check that the conjugacy classes of elements of order 1, 2, 5 have fixed points, while some of the classes of order 3, 4, and 6 do as well. The result of the search is that the following are the isomorphism types of fixed-point subgroups of $3 \cdot \text{Alt}_6.2_3$ and $3 \cdot \text{Alt}_7$:

$$\{C_j\}_{j=1,\dots,5}, F_{20}.$$

Setting aside the group C_5 , each of the fixed-point groups listed above is reducible and the semisimplification of each representation consists of three 1-dimensional

representations, one of which is trivial. The identical Clifford's theorem argument of Section 3.4 shows that none of these groups lifts to an irreducible fixed-point subgroup of $\mathrm{GL}_3(25)$. For the group C_5 , the semisimplification consists of three trivial representations, so the Clifford's theorem argument does not immediately rule out an irreducible fixed-point subgroup of $\mathrm{GL}_3(25)$. However, a search for all subgroups of $\mathrm{GL}_3(25)$ of the form $C_5.m$ that are irreducible fixed-point subgroups reveals none. All computations for this section were performed in Magma.

3.6. Subgroups of Type \mathcal{S} . We complete the classification of irreducible fixed-point subgroups of $\mathrm{GL}_3(q)$ with the groups of Type \mathcal{S} , and we incorporate two of the type \mathcal{S} subgroups of $\mathrm{GU}_3(q)$ into this section as well. We recall the conditions under which each of these groups occur.

Subgroup of $\mathrm{SL}_3(q)$	Conditions
$(q-1, 3) \times \mathrm{L}_2(7)$	$q = p \equiv 1, 2, 4 \pmod{7}, q \neq 2$
$3 \cdot \mathrm{Alt}_6$	$q = p \equiv 1, 4 \pmod{15}$ $q = p^2, p \equiv 2, 3 \pmod{5}, p \neq 3$

Subgroup of $\mathrm{SU}_3(q)$	Conditions
$(q+1, 3) \times \mathrm{L}_2(7)$	$q = p \equiv 3, 5, 6 \pmod{7}, q \neq 5$
$3 \cdot \mathrm{Alt}_6$	$q = p \equiv 11, 14 \pmod{15}$

The simple group $\mathrm{L}_2(7)$ of order 168 has an absolutely irreducible 3-dimensional representation over \mathbf{F}_q when $-7 \in (\mathbf{F}_q^\times)^2$ and the group $3 \cdot \mathrm{Alt}_6$ has an absolutely irreducible representation over \mathbf{F}_q when $-3, 5 \in (\mathbf{F}_q^\times)^2$. The conditions on q reflect these requirements. We start with $d \times \mathrm{L}_2(7)$.

Lemma 3.6.1. *Let G be a maximal, irreducible, fixed-point subgroup of $(q-1, 3) \times \mathrm{L}_2(7) \subset \mathrm{SL}_3(q)$ or $(q+1, 3) \times \mathrm{L}_2(7) \subset \mathrm{SU}_3(q)$, subject to the conditions on q in the tables above. Then $G \simeq \mathrm{Sym}_4$.*

Proof. In either case, a fixed-point subgroup intersects the center trivially, hence $G \subset \mathrm{L}_2(7)$. The maximal subgroup Sym_4 of $\mathrm{L}_2(7)$ is an absolutely irreducible fixed-point subgroup, so it remains to show that no element of order 7 has a fixed point for any allowable q .

Fix a primitive 7th root of unity $\omega \in \overline{\mathbf{F}}_q$. Then the characteristic polynomial on either class of order 7, evaluated at 1 is given by

$$\frac{4}{3}\omega(\omega-1)(\omega^4+2\omega^3+\omega^2+2\omega+1) \neq 0.$$

The inequality follows from the observation that if $\omega^4+2\omega^3+\omega^2+2\omega+1=0$, then the resultant

$$\mathrm{Res}(\omega^4+2\omega^3+\omega^2+2\omega+1, \omega^6+\omega^5+\omega^4+\omega^3+\omega^2+\omega+1) = 7^2 = 0,$$

which is impossible since q is coprime to 7. \square

Lemma 3.6.2. *Let G be a maximal, irreducible, fixed-point subgroup of $3 \cdot \mathrm{Alt}_6 \subset \mathrm{SL}_3(q)$. Then $G \simeq \mathrm{Alt}_4$ or Alt_5 .*

Proof. Since $3 \cdot \mathrm{Alt}_6$ contains the center of $\mathrm{SL}_3(q)$, any fixed-point subgroup must be proper. There are five maximal subgroups of $3 \cdot \mathrm{Alt}_6$:

$$3_+^{1+2}.4, \quad d \times \mathrm{Alt}_4 \text{ (two copies),} \quad d \times \mathrm{Alt}_5 \text{ (two copies).}$$

The group $3_+^{1+2}.4$ was analyzed previously in Section 3.4 and does not possess any irreducible fixed-point subgroups. On the other hand, one can check that the (centerless) groups Alt_4 and Alt_5 of the remaining cases are each irreducible, fixed-point subgroups. \square

The groups of Type \mathcal{S} are scalar normalizing [1, 4.5.2] and so there are no irreducible, fixed-point overgroups $H \subset GL_3(q)$ that properly contain the Alt_4 , Sym_4 , or Alt_5 of the Lemmas above. This completes the classification of nontrivial fixed-point subgroups of $GL_3(q)$ stated in Theorem 1.2.1.

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